

# SAT IN MONADIC GÖDEL LOGICS: (UN)DECIDABILITY RESULTS AND APPLICATIONS

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## Monadic logic

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## Monadic classical logic

SAT is decidable and it has the finite model property.

# GÖDEL LOGICS

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- Gödel (1933) – finitely valued logics



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## Why Gödel logics?

Relevance logics (Dunn & Meyer, 1971), provability logic of Heyting arithmetic (Visser, 1982), **fuzzy logic (Hájek, 1998)**, logic programming (Lifschitz et al, 2001), Kripke models (Beckmann and Preining, 2009), medical expert systems (- & Vetterlein, 2010), ...

# GÖDEL LOGICS: SYNTAX AND SEMANTICS

## Signature

The language is identical to that of classical logic.

# GÖDEL LOGICS: SYNTAX AND SEMANTICS

Fix a (closed) truth value set  $\{0, 1\} \subseteq V \subseteq [0, 1]$

$$\mathcal{I} : \text{Atom} \mapsto V$$

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$$\mathcal{I} : \text{Atom} \mapsto V$$

Extension of  $\mathcal{I}$  to all formulas:

$$\mathcal{I}(A \wedge B) = \min\{\mathcal{I}(A), \mathcal{I}(B)\}$$

$$\mathcal{I}(A \vee B) = \max\{\mathcal{I}(A), \mathcal{I}(B)\}$$

$$\mathcal{I}(A \rightarrow B) = \begin{cases} \mathcal{I}(B) & \text{if } \mathcal{I}(A) > \mathcal{I}(B) \\ 1 & \text{if } \mathcal{I}(A) \leq \mathcal{I}(B) \end{cases}$$

$$\mathcal{I}(\forall x A(x)) = \inf\{\mathcal{I}(A(u)) : u \in U\}$$

$$\mathcal{I}(\exists x A(x)) = \sup\{\mathcal{I}(A(u)) : u \in U\}$$

## GÖDEL LOGICS: REMARKS

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- The value of a formula under an interpretation depends only on the *relative ordering* and the *topological type* of the truth values of atomic formulas, and not on their specific values.

$$E.g. \quad \mathcal{I}(\Phi(A_1, \dots, A_n)) \in \{\mathcal{I}(A_1), \dots, \mathcal{I}(A_n), 1, 0\}$$

# GÖDEL LOGICS: THE ADDITION OF $\Delta$

We can formalize

- $p(x)$  equal to 0 for some  $x$
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The addition of  $\Delta$

$$\mathcal{I}(\Delta A) = \begin{cases} 1 & \mathcal{I}(A) = 1 \\ 0 & \text{otherwise} \end{cases}$$

(Baaz, 1996)

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- Open problems

# SAT IN MONADIC GÖDEL LOGICS WITH $\Delta$

Two cases

- Finite-valued case: : **decidable**
- Infinite-valued case: : **undecidable**

# SAT IN MONADIC GÖDEL LOGICS WITH $\Delta$

## Undecidability result

Classical theory CE of two equivalence relations.

$$A = \mathcal{Q}^* \bigvee_j \bigwedge_k (x_j^k \equiv_i y_j^k)^l, \quad i = 1, 2 \quad \text{and} \quad l = 1, -1$$

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## Fact

**SAT-CE** is not even recursively enumerable (Rogers, 1956).

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$$\mathcal{I}_{\text{CL}}(A) = 1 \iff \mathcal{I}_{\text{G}}(\tau(A)) = 1$$

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$$' \Rightarrow ' \quad \mathcal{I}_{\text{G}}(P_i(u)) = \lambda([u]_i)$$

# SAT IN MONADIC GÖDEL LOGICS

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## Cantor-Bendixon rank

For any topological space  $X$  let

$$X' = \{x \in X : x \text{ is limit point of } X\}.$$

Using transfinite recursion we define the *iterated Cantor-Bendixon derivatives*  $X^\alpha$ ,  $\alpha$  ordinal, as follows:

$$X^0 = X \quad X^{\alpha+1} = (X^\alpha)' \quad X^\lambda = \bigcap_{\alpha < \lambda} X^\alpha, \lambda \text{ limit ordinal.}$$

For any  $x \in X$ , we define its (Cantor-Bendixon-)rank

$$|x|_{\text{CB}} = \sup\{\alpha : x \in X^\alpha\}$$

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## Summary of the results

- **Decidable:**
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  - $|0|_{CB} \geq 2$ , 3 predicate symbols

# MONADIC LOGICS: $|0|_{\text{CB}} = 0$

Theorem

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' $\Rightarrow$ ' If  $A \in \mathbf{SAT-G}_V$ , define  $\mathcal{I}_{\text{CL}}$  as follows:

$$\mathcal{I}_{\text{CL}}(A) = \begin{cases} 1 & \mathcal{I}_{\text{G}}(A) > 0 \\ 0 & \text{o.w.} \end{cases}$$

By induction on the complexity of formulas.

Critical case:  $\forall x A(x)$  with  $\mathcal{I}_{\text{G}}(\forall x A(x)) = 0$ .

# MONADIC LOGICS: $|0|_{CB} = 0$

## Theorem

$$\mathbf{SAT-G}_V = \mathbf{SAT-CL}$$

## Applies to:

- finitely valued logics
- $\exists$ -fragment
- prenex fragment
- monadic witnessed

## $|0|_{CB} \geq 1$ , TWO PREDICATES AND $S$

### Theorem

If  $|0|_{CB} \geq 1$  in  $V$ , there are at least three predicate symbols, one of which is a constant  $S$  strictly between 0 and 1, then **SAT-G<sub>V</sub>** is undecidable.

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Proof ( $A \in \text{CE} \iff \mathcal{I}_{CL}(A) = 1 \iff \mathcal{I}_G(\tau(A)) = 1$ )

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As for  $\Delta$ , but we have to translate negation too

$$\sigma(x \equiv_i y) = (P_i(x) \leftrightarrow P_i(y))$$

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$$\tau(A) = \sigma(A) \wedge \forall x(P_1(x) \prec S) \wedge \forall x(P_2(x) \prec S)$$

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### Proof ideas

- ' $\Leftarrow$ ' ( $\mathcal{I}_G(\tau(A)) = 1$  implies  $\mathcal{I}_{\text{CL}}(A) = 1$ )
- ' $\Rightarrow$ ' ( $\mathcal{I}_{\text{CL}}(A) = 1$  implies  $\mathcal{I}_G(\tau(A)) = 1$ )

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  - forcing the third predicate to decrease to 0:  
 $\neg \forall x P(x) \wedge \forall x \neg \neg P(x)$
  - confine interpretations to intervals below  $P(u)$
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  - confine interpretations to intervals below  $P(u)$
- ' $\Rightarrow$ ' ( $\mathcal{I}_{\text{CL}}(A) = 1$  implies  $\mathcal{I}_G(\tau(A)) = 1$ )
  - parallel execution of the above construction for each of these intervals
  - multiplication of the universe for each of these intervals

## THE TRANSLATION

$$\sigma_{a,b}(r \equiv_i s) = (P_i(r) \leftrightarrow P_i(s))$$

$$\sigma_{a,b}(r \not\equiv_i s) = ((P_i(r) \leftrightarrow P_i(s)) \rightarrow P(a))$$

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$$\forall u(P(u) \rightarrow P(z) \vee P(y) \rightarrow P(u)) \wedge$$

$$\exists w(P(z) \prec P_1(w) \prec P(y) \wedge$$

$$P(z) \prec P_2(w) \prec P(y)) \wedge \sigma_{y,z}(A)]$$

## THE TRANSLATION

$$\sigma_{a,b}(\forall r B) = \forall r (P_1(r) \prec P(b) \vee P(a) \prec P_1(r) \vee P_2(r) \prec P(b) \vee P(a) \prec P_2(r))$$

$$\sigma_{a,b}(\exists r B) = \exists r ((P(b) \prec P_1(r) \prec P(a)) \wedge (P(b) \prec P_2(r) \prec P(a)) \wedge \sigma_{a,b}(B))$$

$$\sigma_{a,b}(\bigvee_j \bigwedge_k (r_j^k \equiv_i s_j^k)^l) = \bigvee_j \bigwedge_k \sigma((r_j^k \equiv_i s_j^k)^l)$$

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# CHAPTER 3: THE FRAGMENT $\text{FO}_{mon}^1$

## Motivation

The formalization of rule-based systems often require the use of a *fragment* of monadic logic.

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The formalization of rule-based systems often require the use of a *fragment* of monadic logic.

### Definition

The fragment  $\text{FO}_{mon}^1$  consists of all closed formulas in the language with  $\Delta$  of the form

$$\bigvee_{i=1}^n (\exists x A_1^i(x) \wedge \dots \wedge \exists x A_{n_i}^i(x) \wedge \forall x B_1^i(x) \wedge \dots \wedge \forall x B_{m_i}^i(x))$$

with  $A_k^i$  and  $B_k^i$  quantifier-free containing no constant symbol.

## RESULTS FOR $\text{FO}_{mon}^1$

1.  $|1|_{\text{CB}} = 0$  in  $V$ ,
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1.  $|1|_{\text{CB}} = 0$  in  $V$ , then  $\text{SAT-FO}_{mon}^1$  is decidable and enjoys the **finite model property**
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### Classical negation

Adding an involutive negation ( $\mathcal{I}(\sim A) = 1 - \mathcal{I}(A)$ ) does not change the decidability status

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E.g.  $\exists x(\neg\neg A(x) \wedge \neg\Delta A(x))$

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### Theorem

If  $|1|_{CB} = 0$  in  $V$ , then **SAT- $\mathbf{G}_V^\Delta$**  is decidable for  $\text{FO}_{mon}^1$ .

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### Proof

Consider  $\exists x A(x) \wedge \forall x B(x)$

use Skolemization.

# $\text{FO}_{mon}^1$ : THE CASE $|1|_{CB} > 0$

## Example

$$P := \exists x A(x) \wedge \forall x \neg \Delta A(x)$$

is satisfiable in  $\mathbf{G}_V^\Delta$  but it has no finite model.

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We use  $\prec_\Delta$  and  $\equiv_\Delta$

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Let  $F$  be any formula in  $\text{FO}_{mon}^1$  and  $A_1, \dots, A_n$  be the predicates occurring in  $F$ .

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## $\Delta$ -chains

Let  $F$  be any formula in  $\text{FO}_{mon}^1$  and  $A_1, \dots, A_n$  be the predicates occurring in  $F$ . A  $\Delta$ -chain over  $F$  is any formula of the form

$$(\perp \bowtie_0 A_{\pi(1)}(x)) \wedge (A_{\pi(1)}(x) \bowtie_1 A_{\pi(2)}(x)) \wedge (A_{\pi(n)}(x) \bowtie_n \top)$$

where  $\pi$  is a permutation of  $\{1, \dots, n\}$ ,  $\bowtie_i$  is either  $\prec_\Delta$  or  $\equiv_\Delta$ , and at least one of the  $\bowtie_i$ 's stands for  $\prec_\Delta$ .

## $\text{FO}_{mon}^1$ : CHAINS CONT.

- every  $\Delta$ -chain  $C_i$  induces an evaluation the predicates of  $F$
- if  $\mathcal{C}_F$  is the set of all chains, then  $\bigvee_{C \in \mathcal{C}_F} C$  is valid in  $\mathbf{G}_V^\Delta$ .

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### Syntactic evaluation $P_{A(x)}^C$

For every quantifier-free subformula  $A(x)$  of  $F$  and every  $\Delta$ -chain  $C$  over  $F$  there is a predicate symbol (or  $\top$  or  $\perp$ )  $P_{A(x)}^C$  such that

$$\mathcal{I}(C \wedge A(x)) = \mathcal{I}(C \wedge P_{A(x)}^C)$$

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Transformation of the existential quantifier

$$\exists x A(x) \equiv \exists x \left( \bigvee_{C \in \mathcal{C}_F} C \wedge A(x) \right) \equiv \bigvee_{C \in \mathcal{C}_F} \exists x (C \wedge P_{A(x)}^C)$$

# $\text{FO}_{mon}^1$ : THE CASE $|1|_{\text{CB}} > 0$

Consider  $\exists x A(x) \wedge \forall x B(x)$ .

Transformation of the existential quantifier

$$\exists x A(x) \equiv \exists x \left( \bigvee_{C \in \mathcal{C}_F} C \wedge A(x) \right) \equiv \bigvee_{C \in \mathcal{C}_F} \exists x (C \wedge P_{A(x)}^C)$$

- delete disjuncts with  $P_{A(x)}^C$  being  $\perp$
- collect the remaining chains in  $\Gamma$

# FO<sub>mon</sub><sup>1</sup>: THE CASE $|1|_{CB} > 0$

## Transformation of the universal quantifier

$$\begin{aligned}\forall x B(x) &\stackrel{\text{SAT}}{\equiv} \Delta \forall x B(x) \stackrel{\text{SAT}}{\equiv} \forall x \Delta B(x) \\ &\stackrel{\text{SAT}}{\equiv} \forall x \left( \bigvee_{C \in \mathcal{C}_F} C \wedge \Delta B(x) \right) \stackrel{\text{SAT}}{\equiv} \forall x \left( \bigvee_{C \in \mathcal{C}_F} (C \wedge \Delta B(x)) \right) \\ &\stackrel{\text{SAT}}{\equiv} \forall x \left( \bigvee_{C \in \mathcal{C}_F} (C \wedge P_{\Delta B(x)}^C) \right) \\ &\stackrel{\text{SAT}}{\equiv} \forall x \left( \bigvee_{C \in \Pi \subseteq \mathcal{C}_F} C \right)\end{aligned}$$

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$$\exists x A(x) \wedge \forall x B(x) \equiv_{\text{SAT}} \bigvee_{C \in \Gamma} \exists x (C \wedge P_{A(x)}^C) \wedge \forall x \left( \bigvee_{C \in \Pi} C \right)$$

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Consider the values of the predicates of  $F$  "induced by"  $C$ :

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- (2) if  $i < j$  then for all  $c$ ,  $\varphi(P_i(c)) < \varphi(P_j(c))$ .
- (3) for  $i > 0$  then  $\lim_{c \rightarrow \infty} \varphi(P_i(c)) = 1$

## CONSTRUCTION OF THE MODEL

$$F \equiv_{SAT} \bigvee_{C \in \Gamma} \exists x (C \wedge P_{A(x)}^C) \wedge \forall x (\bigvee_{C \in \Pi} C)$$

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E.g. for  $V = [0, 1]$  an evaluation  $\varphi$  satisfying  $F$  can be defined as

$$\varphi(P_i(c)) = 1 - \frac{1}{(c+2)^i} \quad (1)$$

## CHAPTER 4: AN APPLICATION

"Fuzzy Logic: from Mathematics to Medical Applications"  
(project funded by **WWTF**, 2008-2012)

## CHAPTER 4: AN APPLICATION

### CADIAG-2

- Computer Assisted DIAGnosis
- medical expert system based on Fuzzy Logic
- designed at the Medical University of Vienna
- internal medicine
- overall accuracy 90% (cf. Adlassnig et al., 1985)

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### CADIAG-2

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- overall accuracy 90% (cf. Adlassnig et al., 1985)

CADIAG-2's modus operandi is close to proofs in  $G_{[0,1]}^{\sim}$

i.e. Gödel logic with truth values  $[0, 1]$  extended with  $\sim$ .

# AN APPLICATION

## Description of CADIAG-2

- $S_1, \dots, S_{1781}$  - symptoms,  $D_1, \dots, D_{342}$  - diagnoses
- $[0, 1]$  value associated with them
- compound formulas - conjunction, disjunction and negation
- minimum, maximum,  $1 - x$
- 20.000 rules - causal relationships

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- me - mutual exclusiveness
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 $\langle \alpha, \beta, 0, 0 \rangle$
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**IF**  $\alpha$  (*positive rheumatoid factor*)

**THEN NOT**  $\beta$  (*seronegative rheumatoid arthritis*)

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 $\langle \alpha, \beta, 0, 0 \rangle$
- $c_d$  - confirming to degree  $d$   
 $\langle \alpha, \beta, 0.3, 0.5 \rangle$

IF  $\alpha$  (*strongly reduced number of thrombocytes*)  
THEN  $\beta$  (*systemic lupus erythematosus*)  
with degree  $d = 0.3$ .

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$$value(\beta) = \min\{value(\alpha), d\}$$

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$$\textit{soc} = \frac{\sum_a \min\{\alpha(a), \beta(a)\}}{\sum_a \alpha(a)}, \quad \textit{foo} = \frac{\sum_a \min\{\alpha(a), \beta(a)\}}{\sum_a \beta(a)},$$

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Is there a set of patients that fulfills the rules?

## A FORMALIZATION IN $G_{[0,1]}^{\sim}$

me - mutual exclusiveness

- $R = \langle \alpha, \beta, soc, foo \rangle,$

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Representation:

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$$soc = \frac{\sum_a \min\{\alpha(a), \beta(a)\}}{\sum_a \alpha(a)} = \mathbf{0}$$

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## A FORMALIZATION IN $\mathbf{G}_{[0,1]}^{\sim}$

$$(c_d) \quad \begin{aligned} & \exists x(\neg\neg A(x) \wedge \neg\neg B(x)) \wedge \\ & \exists x(((A(x) \rightarrow B(x)) \rightarrow B(x)) \wedge \neg\neg \sim B(x)) \wedge \\ & \exists x(((B(x) \rightarrow A(x)) \rightarrow A(x)) \wedge \neg\neg \sim A(x)) \end{aligned}$$

$$(c_1) \quad \begin{aligned} & \forall x(A(x) \rightarrow B(x)) \wedge \exists x\neg\neg A(x) \wedge \\ & \exists x(((B(x) \rightarrow A(x)) \rightarrow A(x)) \wedge \neg\neg \sim A(x)) \end{aligned}$$

$$(me) \quad \forall x(\neg A(x) \vee \neg B(x)) \wedge \exists x\neg\neg A(x) \wedge \exists x\neg\neg B(x)$$

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## ON THE FORMALIZATION

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Consider the binary fragment of CADIAG-2

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**Fact:** The  $G_{[0,1]}^{\sim}$  formulas formalizing it are satisfiable if and only if they are satisfiable in classical logic.

# CHECK IN CLASSICAL LOGIC

Software used

- *Prover9* and *Mace4* (McCune, University of New Mexico)

10 groups of inconsistencies

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## An example

me, IF  $A$   
THEN NOT  $B$

ao, IF NOT  $B$   
THEN NOT  $C$

$c_d$ , IF  $A$   
THEN  $C$   
with degree 0.99.

$A$  = “Nerves, Chorea Minor”  
 $B$  = “Reactive arthritis”  
 $C$  = “Rheumatic fever”.

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Inconsistency:
$C = 0$
$C = 0.99$

# SUMMARY

## SAT in Gödel logics

- with  $\Delta$ : **undecidable** when  $V$  is infinite
- without  $\Delta$ :
  - $|0|_{CB} = 0$ : **decidable**
  - $|0|_{CB} \geq 1$ , 3 predicate symbols one of which is a constant  $0 < c < 1$ :  
**undecidable**
  - $|0|_{CB} \geq 2$ , 3 predicate symbols:  
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### TAUT in Gödel logics

- with  $\Delta$ : **undecidable** when  $V$  is infinite
- without  $\Delta$ :
  - it is **decidable** when  $V$  is finite
  - it is **undecidable** if there exists  $p < 1 \in V$  such that  $\{y \in V \mid y \leq p\}$
  - it is **open** otherwise (only one case:  $V = \{1 - \frac{1}{k} \mid k \geq 1\}$ )

# OPEN PROBLEMS

(Un)decidability status of SAT in Gödel logics

Applications

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(Un)decidability status of SAT in Gödel logics

- monadic, with  $|0|_{CB} = 1$ , no special predicate constant  
(only one case:  $V = \{0\} \cup \{\frac{1}{k} \mid k \geq 1\}$ )
- monadic, with  $|0|_{CB} \geq 1$  and 1 (or 2) predicate symbols
- one-variable

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- one-variable

### Applications

- Check the consistency of the full KB of CADIAG-2
  - SAT solvers and provers for unsatisfiability of  $FO_{mon}^1$  in  $G_{[0,1]}^{\sim}$

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